FISHER INVESTMENTS CANADA™

Conflict	Information About How We Address the Conflict **Document Title** *(Section/Subsection Title and Page Number)*
Performance-based fees	Form ADV Part 2A (*Performance-Based Fees and Side-By-Side Management*, Page 7)
Different fee structures for similar mandates	Form ADV Part 2A (*Performance-Based Fees and Side-By-Side Management*, Page 7)
Personal trading	Form ADV Part 2A (*Code of Ethics, Participation or Interest in Client Transactions and Personal Trading,* subsection: *Code of Ethics and Personal Trading*, Page 12)
Proprietary accounts, including managed accounts with relationships to access persons or principals of Fisher Investments	Form ADV Part 2A (*Code of Ethics, Participation or Interest in Client Transactions and Personal Trading*, subsection: *Participation or Interest in Client Transactions*, Page 12)
Selecting brokers and best execution	Form ADV Part 2A (*Brokerage Practices*, subsections: *Selecting Brokerage Firms* and *Best Execution,* Pages 13 and 14)
Soft dollars	Form ADV Part 2A (*Brokerage Practices,* subsection: *Soft Dollars*, Page 14) Note: Soft dollars are not utilised for Canadian account transactions.
Order aggregation and allocation	Form ADV Part 2A (*Brokerage Practices,* subsection: *Order Aggregation,* Pages 14 and 15)
Trading errors	Form ADV Part 2A (*Brokerage Practices*, subsection: *Trading Errors,* Page 16)
Client referrals	Form ADV Part 2A (*Client Referrals and Other Compensation,* subsection: *Incoming Referrals*, Page 16) Form ADV Part 3 (*How do your financial professionals make money?,* Page 2)
Compensation from third parties	Form ADV Part 2A (*Client Referrals and Other Compensation,* subsection: *Other Compensation,* Page 17)
Proxy voting	Form ADV Part 2A (*Voting Client Securities,* Page 18)
Fair valuation	Form ADV Part 2A (*Additional Information: Fair Valuation*, Page 18)
Compensation of financial professionals	Form ADV Part 3 (*How do your financial professionals make money?,* Page 2)