Plan Administration Services Chart

FISHER INVESTMENTS®
401(k) SOLUTIONS

Having a specialized plan advisor can significantly reduce your administrative burden, saving you time and money when it comes to managing your retirement plan.

		Other Plan Advisors	Fisher Solution
Vendor Management	Provides access to administrative fiduciary solutions, including 3(16)	✓	✓
	Supports ongoing service provider negotiation, monitoring, and evaluation		✓
	Proactively advocates for best-for-client services and pricing with all plan service providers		✓
Single Point of Contact	Provides a dedicated single-point-of-contact for all plan servicing needs		✓
Ongoing Plan Administration	Meets with plan committee annually to review plan health metrics and discuss opportunities to improve plan performance		✓
	Assists with payroll setup, training, and coordination		✓
	Analyzes plan design		✓
	Assists with employee notices, including delivery to the Plan Sponsor		✓
	Proactively assists with former employees with a balance		✓
Annual Compliance Testing	Provides annual support for IRS Form 5500 preparation and filing		✓
	Provides ongoing support for annual compliance testing		✓
	Liaison between plan providers to complete timely compliance testing, and provide ongoing tailored solutions for plan optimization		✓
Daily Plan Management	Assists with plan governance and reviews requirements under Section 404(c) of ERISA		✓
	Creates and assists with fiduciary audit file		✓
	Benchmarks plan provider services and fees with third party data to support ongoing due diligence		✓
Service Provider Transition Support	Facilitates seamless service provider transition with dedicated support during plan installation, ongoing, and as needed		✓
	Attends all plan installation calls, and coordinates communication and work across all plan service providers		✓

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